

المؤتمر السنوي الدولي للجمعية المصرية  
INTERNATIONAL CONGRESS OF THE  
EGYPTIAN OPHTHALMOLOGICAL SOCIETY  
**EOS 2023**



**Course 12: Research Methodology  
and Ethics Course**

**REC in Accordance with New  
Egyptian Clinical Research Law**

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CT law and its executive regulations {Ministerial Decrees nos 491(2016)  
and 135 (2021)} and Prime Ministerial Decree no 2716 (2018)





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## Intended Learning Objectives (ILOs)

By the end of this lecture you will be able to:

1. Recognize the structure and role of REC
2. Identify the method of dealing with it



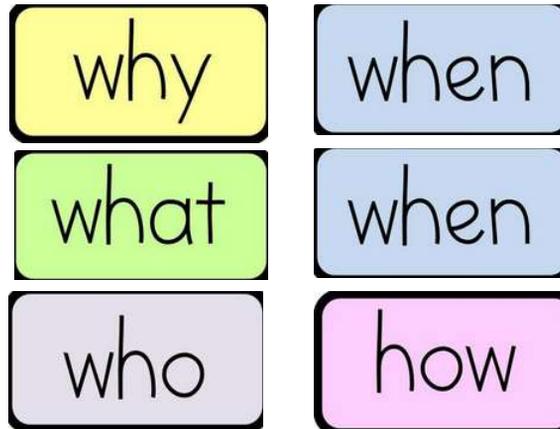
### 8 Ethical Requirements

- Community partnership
- Social value
- Scientific validity
- Fair subject selection
- Favorable risk/benefit ratio
- Independent review
- Informed consent
- Respect for enrolled subjects



*Emanuel et al, JAMA. 2000;283:2701-2711*





What is the difference between

REC & IRB

Institutional Review Board (IRB)

are **similar** terms



**PLEASE DON'T SAY  
THAT**



why



Conflicting obligations might lead even **well-intentioned** investigators to fail to notice the proper protections of human subjects



Independent review balances the possibility that  
**competing interests**  
may override the  
**protection of human subjects**



٢٠٠٣

الباب الرابع

إجراء التجارب والبحوث الطبية على الأدميين

رقم 238 لسنة 2003 بتاريخ 5 سبتمبر 2003



مادة ( 57 ) :

يلتزم الباحث بإعداد تقرير مفصل وواضح عن أهداف البحث ومبررات إجرائه على الأدميين ويقدم هذا التقرير إلى الجهة المختصة للحصول على موافقتها على إجراء البحث.



# قانون رقم ٢١٤ لسنة ٢٠٢٠

بإصدار قانون تنظيم البحوث الطبية الإكلينيكية

قرار رئيس مجلس الوزراء

رقم ٩٢٧ لسنة ٢٠٢٢

بإصدار اللائحة التنفيذية لقانون تنظيم البحوث الطبية الإكلينيكية

الصادر بالقانون رقم ٢١٤ لسنة ٢٠٢٠



**باسم الشعب**  
**رئيس الجمهورية**

قرار مجلس النواب القانون الأتي نصه ، وقد أصدرناه :

( المادة الأولى )  
يعمل بأحكام القانون المرفق في شأن البحوث الطبية الإكلينيكية التي تجري على  
الإنسان وبياناته الطبية بالجهات المختصة داخل جمهورية مصر العربية .

( المادة الثانية )  
يصدر رئيس مجلس الوزراء اللائحة التنفيذية للقانون ، وذلك خلال ثلاثة أشهر  
من تاريخ العمل به .

( المادة الثالثة )  
ينشر هذا القانون في الجريدة الرسمية ، ويعمل به من اليوم التالي لتاريخ نشره .

رئيس مجلس الوزراء  
(دكتور/مصطفى كمال مدبولي)

صدر برئاسة مجلس الوزراء في ٩ شعبان سنة ١٤٤٣ هـ  
التوافق ٢٢ مارس سنة ٢٠٢٢ م

صورة مرفقة في السند  
سنة الدواع المصرية

باسم  
مجلس الوزراء  
المستشار أحمد جعفر

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من تاريخ العمل به .

( المادة الثالثة )  
ينشر هذا القانون في الجريدة الرسمية ، ويعمل به من اليوم التالي لتاريخ نشره .  
يصمم هذا القانون بختم الدولة ، ويلف ككتاب من قوائمها .  
صدر برئاسة الجمهورية في ١٠ جمادى الأولى سنة ١٤٤٣ هـ  
( الموافق ٢٣ ديسمبر سنة ٢٠٢٠ م ) .

عبد الفتاح السيسي



## الفصل الرابع

اللجان المؤسسية لمراجعة أخلاقيات البحوث الطبية الإكلينيكية وهيئة الدواء المصرية  
المواد ٨ و ٩

مادة (٨) تشكل داخل كل جهة بحثية بقرار من السلطة المختصة بهذه الجهة، لجنة واحدة تسمى "اللجنة المؤسسية لمراجعة أخلاقيات البحوث الطبية"، من رئيس وأربعة أعضاء على الأقل، وتكون مدة عضويتها ثلاث سنوات قابلة للتجديد مرة واحدة، على أن يتم تغيير عضوين على الأقل كل ثلاث سنوات، ويكون لكل لجنة مقررأ يُحدد في قرار تشكيلها.



## الفصل الرابع

اللجان المؤسسية لمراجعة أخلاقيات البحوث الطبية الإكلينيكية وهيئة الدواء المصرية  
المواد ٨ و ٩

ويراعى في تشكيل تلك اللجان ما يلي:

- أن يكون أحد الأعضاء على الأقل من ذوى التخصصات غير الطبية
  - أن يكون أحد الأعضاء على الأقل من خارج الجهة التي يجرى بها البحث.
- وتعقد اللجنة المؤسسية إجتماعاتها بصفة دورية وفقا لما يحدده قرار تشكيلها. ويجب أن تتقدم الجهة البحثية بطلب إلى المجلس الأعلى لتسجيل هذه اللجنة خلال شهر على الأكثر من تاريخ تشكيلها



## الفصل الثاني عشر

### المسؤولية والعقوبات المواد ٢٥ - ٣٢

• العقوبات تشمل الحبس والغرامات المالية في حال عدم الإلتزام عامة بمواد هذا القانون من قبل كل المعنيين به

• يوجد سجن مشدد في الحالات التي بها عدم إلتزام نتج عنه حدوث آثار جانبية خطيرة على المبحوث

**SANCTION**



**EOS2023**

DoH

**EOS2023**

World Medical Association Declaration of Helsinki  
Ethical Principles for Medical Research  
Involving Human Subjects      *October (2013)*

“The research protocol must be submitted for consideration, comment, guidance and approval to a **research ethics committee** **before** the study begins”



- Enhance **protection of subjects**
- Enhance researcher and Institute **reputation** minimize the potential for claims of negligence made against them
- **Increasing demand of REC approval** from different scientific bodies in Egypt
- It is an essential requirement for **national & international sponsors or grants**





صندوق العلوم والتنمية التكنولوجية

Science & Technology Development Fund



وزارة البحث العلمي

Ministry of Scientific Research

***The form for bioethical considerations***

**Please respond to the following questions:**

- a) Does your project have any bioethical considerations? (Yes/No)
- b) If yes; has an ethical clearance been obtained for the conduct of study and what is the date of obtaining such clearance? (Please attach a copy of the ethical clearance)
- d) In this research proposal, please indicate if an Informed consent is needed, (if applicable, please attach a blank consent form as an annex)
- e) In this research proposal, please indicate if the subject confidentiality will be guarded?



f) Please provide the following information about the Ethical Review Committee that reviewed and cleared this research proposal:

- Type of the Ethical Review Committee (Institutional/national)
- Number of members of the Ethical Review committee
- Structure of the Ethical Review committee (membership comprising institutional specialists only or other non-institutional society representatives)
- How many years has the Ethical Review Committee been functional?
- How many proposals has the Ethical Review Committee examined in the last two years and how many of them were rejected/accepted?
- If applicable, number of members of the Ethical Review subcommittee that reviewed the proposal





#### 4.1.15.2 Certification of IRB Approval

Recipients must provide a certification to NIH that the research application has been approved by an appropriate IRB, consistent with 45 CFR 46 and OHRP guidance. IRB approval must have been granted within 12 months before the budget period start date to be valid. Note that NIH requires the date of final IRB approval; conditional IRB approval is not sufficient. According to OHRP, in the case of IRB approval with conditions, IRB approval only becomes effective when the IRB has approved all information submitted in response to their conditions.



#### ETHICS REVIEW PROCEDURE

All proposals above threshold and considered for funding will undergo an Ethics Review carried out by independent ethics experts and/or qualified staff working in a panel. The Review starts with an **Ethics Screening** and if appropriate a further analysis called the **Ethics Assessment** is conducted. **The Ethics Review can lead to ethics requirements that become contractual obligations.**



- Enhance **protection of subjects**
- Enhance researcher and Institute **reputation** minimize the potential for claims of negligence made against them
- **Increasing demand of REC approval** from different scientific bodies in Egypt
- It is an essential requirement for **national & international sponsors or grants**
- To give chance for **international publication**



**WAME**  
world association of medical editors

A global nonprofit voluntary association of editors of peer-reviewed medical journals

## Recommendations on Publication Ethics Policies for Medical Journals

Study Design and Ethics



Documented review and approval from a formally constituted review board (Institutional Review Board or Ethics committee) should be required for all studies involving people, medical records, and human tissues. For those investigators who do not have access to formal ethics review committees, the principles outlined in the Declaration of Helsinki should be followed. If the study is judged exempt from review, a statement from the committee should be required. Informed consent by participants should always be sought. If not possible, an institutional review board must decide if this is ethically acceptable. Journals should have explicit policies as to whether these review board approvals must be documented by the authors, or simply attested to in their cover letter, and how they should be described in the manuscript itself.



what

who



## What is REC ?



## Composition of REC

- Authorized committee consists of a reasonable number of members at least (5), having a time schedule
- Committee must have chairperson



## Composition of REC

- At least **one** member is **non-medical**
- At least **one** member is **non-affiliated**



non-affiliated



## Role of REC

**Review** biomedical research and ensure that the **research** does **not violate** the **rights** and **welfare** of the **human subjects** participating in it



Rights



# Role of REC

Protect the  
Researchers & their Institutions



Institution



# Role of REC



Download from [www.researchgate.net](https://www.researchgate.net/publication/368882658) | 368882658



## Role of REC

- Continuing reviews
- Review of **Changes**
- Review  **Adverse events**
- **Suspend** or **terminate** approval
- Conduct **educational exercises**



Members must reveal and manage any  
**potential conflict of interest**



Members must sign confidentiality agreement



when







It is a **systematic** investigation designed, including research development, testing, and evaluation, to develop or contribute to **generalizable knowledge**

common Rule, USA



What is ?



What is ?

A **living individual** about whom an investigator conducting research obtains **data** through **intervention** or **interaction** with the individual, or **identifiable private information**



What is ?

**Intervention** includes physical procedures, manipulations of the subject or manipulations of the subject's environment for research purposes



What is ?

**Interaction** includes communication between the investigator and the subject. This includes face-to-face, mail and phone interaction



What is ?

### Identifiable Private Information

-Information that has been provided for specific purposes and **not to be public**



What is ?

### Identifiable Private Information

-Coded private information or biological specimens identifiable through **accessible coding systems**



when



- All research must be **reviewed & approved before** any research activities may begin (recruitment or data collection)
- An estimated timeline is provided in most of RECs SOPs in Egypt



- Submitting the application **at least two weeks before** the next **scheduled monthly meeting**
- Allow at least **one month for approval**

**No post-conduction approval**



how



Standard Operating Procedures (SOPs)

# Standards and Operational Guidance for Ethics Review of Health-Related Research with Human Participants



© World Health Organization 2011



## 8 Ethical Requirements

- Community partnership
- Social value
- Scientific validity
- Fair subject selection
- Favorable risk/benefit ratio
- Independent review
- Informed consent
- Respect for enrolled subjects



*Emmanuel et al, JAMA. 2000;283:2701-2711*



## The Responsibilities of the Principal Investigator (PI)

- Protect the rights and welfare of human participants
- Understand the ethical standards and regulatory requirements
- Obtain REC approval and other regulatory requirements



# The Responsibilities of the Principal Investigator (PI)

- Implement the research activity as it was approved by the REC
- Obtain REC approval for any proposed change
- Timely reporting of adverse / serious adverse events



# The Responsibilities of the Principal Investigator (PI)

## Serious Adverse Event (SAE)



<b>F</b> red	<b>F</b> atal
<b>D</b> oesn't	
<b>H</b> ave	
<b>A</b> ny	
<b>M</b> oney	
<b>L</b> eft	
	<b>S</b> ignificant

Office of Research Education and Regulatory Management



## The Responsibilities of the Principal Investigator (PI)

- Maintain written records of REC reviews and decisions
- Obtain and document the informed consent as approved by the REC



## The Responsibilities of the Principal Investigator (PI)

- Ensure the confidentiality and safety
- Verify that REC approval has been obtained from all participating institutions in collaborative activities
- Obtain continuing approval



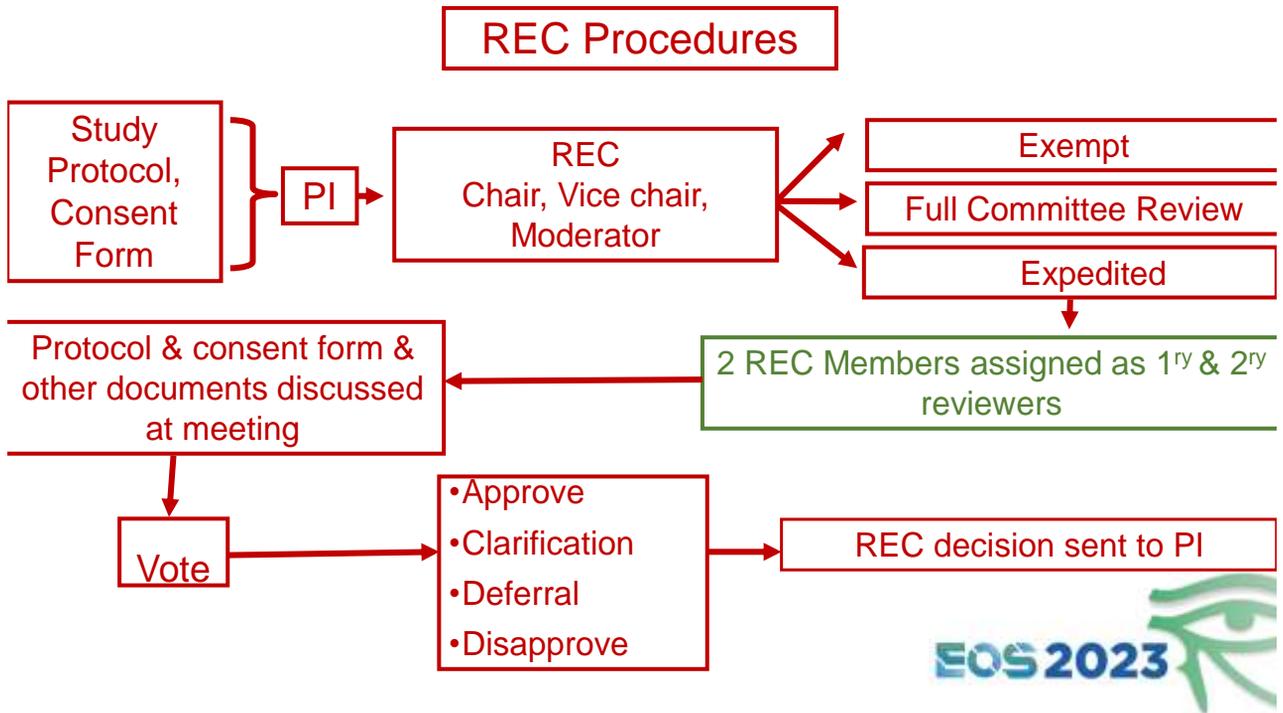
- Approval is for up to one year
- May be less depending on level of risk
- Required documentation for Continuing Review
  - Number of subjects enrolled
  - Description of any adverse events or unanticipated problems
  - Summary of any recent literature
  - Copy of the current informed consent



**Final Report** to REC will be required **before** publication of paper, discussion of thesis or the final report of the project

**Final  
Report**





## What is Minimal Risk?

**Minimal risk** means that the probability and magnitude of harm or discomfort anticipated in the research are not greater in and of themselves than those ordinarily encountered in **daily lives** of the general population or during the performance of **routine physical or psychological** examinations or tests



## What is a Federal Wide Assurance (FWA)?

It is the only type of new assurance of compliance accepted and approved by Office for Human Research Protections(OHRP),USA, for institutions engaged in human subjects research conducted or supported by Health and Human Services (HHS),USA



86 RECs / IRBs registered



الوطن  
رئيس التحرير  
محمود مسلم

الرئيسية < مصر < أخبار

## "الصحة": انتهاء الدليل الإرشادي لتسجيل لجان أخلاقيات البحث العلمي

2017 تم 14 يونيو 2017

أكدت الدكتورة عزة صالح رئيس الإدارة المركزية للبحوث والتنمية الصحية في وزارة الصحة والسكان، الانتهاء من عمل الدليل الإرشادي الخاص بتسجيل لجان أخلاقيات البحث العلمي في الجمهورية، ونشرها على جميع الهيئات والمؤسسات والجامعات لبدء تسجيل لجان الأخلاقيات التابعة لهم لدى وزارة الصحة.

الصحة  
EOS2023



الدليل الإرشادي لتسجيل لجان أخلاقيات البحوث  
وزارة الصحة المصرية  
Guideline for Research Ethics Committees  
Registration – MoHP

EOS2023





35 RECs/IRBs registered



ibcommuler.com



Violation?



REC will notify the institute chair, sponsor of the grant or if this discovered after publication REC chair can contact the journal editorial board



# THE TAKE-HOME MESSAGE



- Following ethical g... arch, on the
- opposite, it will enh
- REC is important a



